

Profile Balances Ledger Deposits Deposit Addresses Withdrawals Redemption Limits Withdrawal Addresses Bank Accounts Active Orders Completed Orders Trades Tiers Mail Queue Notifications Activity Error Log Export Attempts Referrals Referral Bonuses

Whitelisted IPs Risk Scoring Transaction Monitoring Security Question Supporting Documents

INTERNAL ID	YDREXN
MANUALRISKSCORE	35
TOTAL RISK SCORE	35
RISK LEVEL	Medium
COMPLIADVANTAGE	0
HITS	0
COMPLIADVANTAGE LINK	ComplyAdvantage Link
VERIFICATION APPLICATION STATUS	Approved
CATEGORY	Approved By Compliance
NUMBER OF ATTEMPT TO JUMIO	3
	Reset

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of Birth	Korea (South)	0
11	Citizenship on the document	Korea (South)	25
12	Please tell us about your current employment status.	Employed	0
13	Please tell us about your occupation or business activity.	Technology and communications-information technology	5
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
15	Is your individual activity or business registered or conducted in preferential tax zone for instance, free economic zone, offshore jurisdiction?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10k (more or little of my turnover is in cash)	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10k (more or little of my turnover is in cryptocurrency)	0
18	Please tell us about your source(s) of income funds. Select more than one box if necessary.	Salary / business income, loan / Credit	0
19	Please select the service(s) you plan to use. Select more than one box if necessary.	Employment income, Business profits	0
20	How much do you plan to deposit per year (USD and crypto combined)?	Crypto Trading, Long term investment, Participate in Initial Exchange Offering, Crypto payment	5
21	Let us know what your level of experience is with cryptocurrency trading? Please select one:	< 10 000	0
22	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public function in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	Korea (South)	0
23		Beginner	0
24		< 1 Year	0
25		No	0

Comment

Add a comment...

Add Comment	DATE & TIME	FULL NAME	ROLE	COMMENT
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6/9/2021 12:51:05 PM

Based on the KYC response, the Customer is Medium Risk considering the following risk factors:

- Citizenship on the document is Korea (South) [25]
- Occupation or business activity is Technology and communications-information technology [5]
- Selected service includes Crypto Trading, Long term investment, Participate in Initial Exchange Offering, Crypto payment [5]

Screening is performed against the Customer. There is no hit against the searched name.

The Customer provided a validated ID document and passed Jumio but the ID document cannot be shown on the admin panel due to the technical issue.

Overall, KYC Onboarding Analyst [REDACTED] is of the view that the Initial AML CTF Assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with Medium Risk. [RISK SCORE:35].

KYC Questionnaire

INTERNAL ID	232741X
MANUAL SCORE	51
TOTAL RISK SCORE	51
RISK LEVEL	Medium
CUMULATIVE ADVANTAGE	0
HITS	0
CUMULATIVE ADVANTAGE LINK	Click to see cumulative advantage link
LINK	
VERIFICATION	Approved
APPLICATION STATUS	
CATEGORY	Approved By: Concepcion
NUMBER OF ATTEMPT	3
JUMBO	Reset

QUESTION SORT ORDER	QUESTION	ANSWER
0	Place of birth	China
1	Citizenship on the document	China
2	Please tell us about your current employment status.	Employed
3	Please tell us about your occupation or business activity.	Technology and communication- information technology
4	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No
5	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No
6	Please tell us about your annual turnover, in individual or business activity, which is reported in cash.	0-10K (none or little of my turnover is in cash)
7	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency	0-10K (none or little of my turnover is in cryptocurrency)
8	Please tell us about your sources of income funds. Select more than one box if necessary.	Salary Business income
9	Please tell us about your sources of wealth? Select more than one box if necessary.	Employment income
10	Please select the services you plan to use. Select more than one box if necessary.	Crypto Trading, Long term investment, Participate in initial Exchange Offering
21	How much do you plan to deposit per year USD and crypto combined?	< 10 000
22	Please tell us when convert the funds will be received and/or transferred from.	China
23	Let us know what your level of experience is with cryptocurrency trading. Please select one	Beginner
24	How many years of experience do you have in crypto trading?	< 1 Year
25	Are you an immediate family member or a close associate, entrusted or have been entrusted, with government public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No

Comment

Abstract 8-1379

Add Comment

1

6/2/2021 4:16:03 PM

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1

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10

31

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1

INTERNAL ID	VALUREX
MANUALRISKSCORE	0
TOTAL RISK SCORE	50
RISK LEVEL	Medium
COMPLYADVANTAGE	0
HITS	
COMPLYADVANTAGE LINK	ComplyAdvantage Link
VERIFICATION	Approved
APPLICATION STATUS	
CATEGORY	Approved By Compliance
NUMBER OF ATTEMPT TO	5
JUNIO	Reset

Risk Score Update History

DATE & TIME	FILE NAME	ROLE	RISK SCORE	RISK LEVEL
12/5/2022 6:29:24 AM	Auto (System)	Auto (System)	50	Medium

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of birth	China	0
11	Citizenship on the document	China	25
12	Please tell us about your current employment status.	Self-employed	5
13	Please tell us about your occupation or business activity.	Technology and communications-Telecommunication	5
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
15	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10% (income or little of my turnover is in cash)	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10% (income or little of my turnover is in cryptocurrency)	0
18	Please tell us about your source(s) of income (funds). Select more than one box if necessary.	Salary / business income, Savings	0
19	Please tell us about your source(s) of wealth? Select more than one box if necessary.	Employment income	0
20	Please select the service(s) you plan to use. Select more than one box if necessary.	Participate in Initial Exchange Offering	0
21	How much do you plan to deposit per year (USD and crypto combined)?	10 000 100 000	0
22	Please tell us which countries the funds will be received and/or transferred from.	China	15
23	Let us know what your level of experience is with cryptocurrency trading? Please select one.	Beginner	0
24	How many years of experience do you have in crypto trading?	< 1 YEAR	0
25	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Comment

ADD A COMMENT...

DATE & TIME	FILE NAME	ROLE	COMMENT
2/25/2022 12:28:45 PM			Manual checking required: a. Full Name matches with Junio record b. DOB matches with Junio record c. Full address provided d. Origin of Funds from US, Canada, Japan - Nil e. No connection to any Unsupported Country f. Discounting of Screening Hits - zero English and Chinese name search hit. Based on New Business Committee's guidance on Oct 1 2021, it is agreed to onboard the Customer with China residency with Medium risk (50). Full address requested #208642
2/28/2022 9:59:57 AM			

INTERNAL ID
MANUAL SCORE
TOTAL RISK SCORE
RISK LEVEL
COMPL. ADVANTAGE
HITS
LINK
VERIFICATION
APPLICATION STATUS
CATEGORY
NUMBER OF ATTEMPT TO
JUMBO

PRCCGA
0
45
Medium
0
Copy Advantage Link
Auto-Approved
▼ Save & Return Later
1
Reject

NYC Questionnaire		QUESTION		ANSWER		RISK RATING
QUESTION SORT ORDER		QUESTION		ANSWER		RISK RATING
1	Place of birth	China		China		0
2	Citizenship on the document	China		China		25
3	Please tell us about your current employment status.	Employed		Employed		0
4	Please tell us about your occupation or business activity.	Health and wellness-Health care				5
5	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No				0
6	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No				0
7	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10K (none or little of my turnover is in cash)				0
8	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10K (none or little of my turnover is in cryptocurrency)				0
9	Please tell us about your sources of income/funds. Select more than one box if necessary.	Salary / business income, Savings inheritance, Employment income				0
10	Please tell us about your sources of wealth? Select more than one box if necessary.	Crypto Trading, Long term investment, Participate in Initial Exchange Offering				0
11	Please select the service(s) you plan to use. Select more than one box if necessary.	10 000 100 000				15
12	How much do you plan to deposit per year (USD and crypto combined)?	China				0
13	Please tell us which countries the funds will be received and/or transferred from.	Beginner				0
14	Let us know what your level of experience is with cryptocurrency trading? Please select one	< 1 Year				0
15	How many years of experience do you have in crypto trading?	No				0
16	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.					0

Comment

Add a comment...

Add Comment

INTERNAL ID: CXCAC

MANUAL RISK SCORE: 0

TOTAL RISK SCORE: 41

RISK LEVEL: Medium

COMPLY ADVANTAGE: 0

HITS: 0

COMPLY ADVANTAGE LINK: [Comply Advantage Link](#)

VERIFICATION STATUS: Approved

CATEGORY: Approved By Compliance

NUMBER OF ATTEMPTS TO JUMIO: 1

REMARK: Save & Inform User

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
1	Place of birth	China	0
2	Citizenship on the document	China	31
3	Please tell us about your current employment status.	Employed	0
4	Please tell us about your occupation or business activity.	Technology and communications-information technology	5
5	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
6	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
7	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10k (none or little of my turnover is in cash)	0
8	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10k (none or little of my turnover is in cryptocurrency)	0
9	Please tell us about your source(s) of income funds. Select more than one box if necessary.	Salary / business income	0
10	Please tell us about your source(s) of income funds. Select more than one box if necessary.	Employment income	0
11	Please tell us about your source(s) of income funds. Select more than one box if necessary.	Crypto Trading, long term investment, Crypto payment	5
12	Please select the service(s) you plan to use. Select more than one box if necessary.	< 10,000	0
13	How much do you plan to deposit per year (USD and crypto combined)?	Hong Kong	0
14	Please tell us which countries the funds will be received and/or transferred from.	Beginner	0
15	Let us know what your level of experience is with cryptocurrency trading? Please select one	< 1 Year	0
16	How many years of experience do you have in crypto trading?	No	0
17	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.		

Comment

Add a comment...

DATE & TIME	FULL NAME	ROLE	COMMENT
5/18/2021 8:30:14 AM			<p>Based on the KYC responses, the Customer is Medium Risk considering the following risk factors:</p> <p>The citizenship of customer is China, claimed as employed and engaged in technology and communications-information technology, and the purposes of opening account were Crypto Trading, long term investment and Crypto payment.</p> <p>Screening is performed against the customer. There are 7 hits against the searched names. The hits are identified as false hit since either the name or age of persons mentioned in the hits are not matched with the customer's profile and no evidence shows that customer has direct linkage with the company mentioned in the hit.</p> <p>Email has been sent to the customer due to his country of residency.</p> <p>Overall, KYC Onboarding Analyst, [REDACTED] is of the view that the initial AML/CTF assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with Medium Risk (M).</p>

INTERNAL ID

MANUALRISKSCORE

TOTAL RISK SCORE

RISK LEVEL

COMPL/ADVANTAGE

HITS

COMPL/ADVANTAGE LINK

VERIFICATION

APPLICATION STATUS

CATEGORY

NUMBER OF ATTEMPT TO JUMMO

Reset

State & Admin User

Risk Score Update History

DATE & TIME	FULL NAME	ROLE	RISK SCORE	RISK LEVEL
27 2023 1:25:41 PM	Auto (System)	Auto (System)	50	Medium
27 2023 1:19:26 PM	Auto (System)	Auto (System)	30	Low
27 2023 1:19:15 PM	Auto (System)	Auto (System)	30	Low

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of birth	China	0
11	Citizenship on the document	China	25
12	Please tell us about your current employment status.	Employed	0
13	Please tell us about your occupation or business activity.	Other services-Financial services	0
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
15	Is your individual activity or business registered or conducted in preferential tax zone for instance, free economic zone, offshore jurisdiction?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	60-90% (most of my turnover is in cash)	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10% (none or little of my turnover is in cryptocurrency)	0
18	Please tell us about your source(s) of income, funds. Select more than one box if necessary.	Salary / business income	0
19	Please tell us about your source(s) of wealth? Select more than one box if necessary.	Employment income	0
20	Please select the service(s) you plan to use. Select more than one box if necessary.	Crypto Trading, Long term investment, Arbitrage Trading, Participate in Initial Exchange Offering, Crypto payment	5
21	How much do you plan to deposit per year (USD and crypto combined)?	< 10 000	15
22	Please tell us which countries the funds will be received and/or transferred from.	China	0
23	Let us know what your level of experience is with cryptocurrency trading? Please select one:	Beginner	0
24	How many years of experience do you have in crypto trading?	< 1 Year	0
25	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Comment

Add a comment...

Add Comment

DATE & TIME

FULL NAME

ROLE

COMMENT

Manual checking required:
a. Full Name matches with Jumo record
b. DOB matches with Jumo record
c. Full address provided and matched with ID which we accepted at valid POA.
d. Origin of Funds from US, Canada, Japan - Nil
e. No connection to any Unsupported Country
f. Discounting of Screening Hits - zero English and Chinese name search hit.
Based on New Business Committee's guidance on Oct 1 2021, it is agreed to onboard the Customer with China residency with Medium risk (50).

2 2 2023 3:39:17 PM

INTERNAL ID	HEROINK
ANNUAL RISK SCORE	50
TOTAL RISK SCORE	50
RISK LEVEL	Medium
COUNTRY ADVANTAGE	0
HITS	0
COMPLY ADVANTAGE LINK	Comply Advantage Link
VERIFICATION	Approved
VERIFICATION STATUS	Approved
CATEGORY	Approved By Compliance
NUMBER OF ATTEMPT TO	2
STATUS	Best

Risk Score Update History

DATE & TIME	FULL NAME	ROLE	RISK SCORE	RISK LEVEL
7/7/2021 8:59:25 AM	Auto (System)	Auto (System)	50	Medium

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
12	Place of birth	China	25
12	Citizenship on the document	China	0
12	Please tell us about your current employment status.	Employed	5
12	Please tell us about your occupation or business activity.	Technology and communications - information technology	0
12	Please tell us about business you may conduct in other country(ies) besides where you are registered for tax purposes?	No	0
12	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	0-10% (none or little of my turnover is in cash)	0
12	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10% (none or little of my turnover is in cryptocurrency)	0
12	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	Salary Business Income, Investments, Cryptocurrency trading	5
12	Please tell us about your source(s) of income funds. Select more than one box if necessary.	Employment income	0
12	Please tell us about your source(s) of wealth? Select more than one box if necessary.	Crypto Trading, Long term investment	0
12	Please select the service(s) you plan to use. Select more than one box if necessary.	10 000 100 000	0
12	How much do you plan to deposit per year (USD and crypto combined)?	China	15
12	Please tell us which countries the fund will be received and/or transferred from.	China	0
12	Let us know what your level of experience is with cryptocurrency trading? Please select one.	Intermediate	0
12	How many years of experience do you have in crypto trading?	3 - 5 Years	0
12	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Comment

Add a comment...

DATE & TIME	FULL NAME	ROLE	COMMENT
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11/4/2021 5:53:08 PM

Based on the KYC responses, the Customer is considered Medium Risk. Screening is performed against the Customer. There are 4 hits against the searched name. All hits can be discounted due to name mismatch.

Validated with ID document the full name is consistent with customer submission. The original status "full name differs" can be discounted.

Date of birth has been remediated, now the DOB captured in the admin panel aligns with the ID document provided. The status "DOB differs" can be discounted.

Based New Business Committee's guidance on Oct 1 2021, it is agreed to onboard the Customer with China residency.

Based New Business Committee's guidance on 19 Aug 2021, the case has passed the Simplified Due Diligence (SDD). KYC Onboarding Analysis is of the view that the initial AML CTR assessment result is consistent with the Customer's profile and does not oppose the decision to onboard this Customer with Medium Risk (Risk Score 50).

INTERNAL ID

6816435

TOTAL RISK SCORE

56

RISK LEVEL

Medium

COMPLY/ADVANTAGE

0

LINK

[Crypto Advantage Link](#)

VERIFICATION

Approved

APPLICATION STATUS

Approved

CATEGORY

Approved By Compliance

NUMBER OF ATTEMPT TO

4

RESET

Reset

DATE & INFORM CUE

NYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
16	Place of birth	China	0
17	Citizenship on the document	China	3.1
18	Please tell us about your current employment status.	Employed	0
19	Please tell us about your occupation or business activity.	Technology and communications-information technology	5
20	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
21	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	0-10% none or little of my turnover is in cash	0
22	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10% none or little of my turnover is in cryptocurrency	0
23	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	Salary Business income, Savings, Loan / Credit	0
24	Please tell us about your source(s) of wealth? Select more than one box if necessary.	Property sale, Employment income	0
25	Please select the service(s) you plan to use. Select more than one box if necessary.	Crypto Trading, Long term investment, Arbitrage Trading, Participate in Initial Exchange Offering, Crypto payment	5
26	How much do you plan to deposit per year (USD and crypto combined)?	10 000 100 000	0
27	Please tell us which countries the funds will be received and/or transferred from.	China Switzerland	1.5
28	Let us know what your level of experience is with cryptocurrency trading. Please select one:	Beginner	0
29	How many years of experience do you have in crypto trading?	< 1 Year	0
30	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Add Comment...

Add Comment

DATE & TIME

FULL NAME

ROLE

COMMENT

5/5/2021 9:35:06 AM

Based on the NYC responses, the Customer is Medium Risk considering the following risk factors:

- Citizenship on the document is China
- Occupation or business activity is Technology and communications-information technology
- Selected services include Crypto Trading, Long term investment, Arbitrage Trading, Participate in Initial Exchange Offering, Crypto payment
- Funds will be received and/or transferred from China & Switzerland

Screening is performed against the Customer. There is no hit against the searched English name. There are 2 hits against the searched Chinese name.

All hits can be discounted due to name mismatch.

Notification email has been sent to the Customer due to his country of residency in China

Overall, NYC Onboarding Analyst [REDACTED] is of the view that the initial AML, CTF assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with Medium Risk (RISK SCORE 56).

Risk ScoringRisk Scoring

INTERNAL ID: VAM075
MANUAL REVIEW: 12/21/23
TO BE REVIEWED: 12/21/23
RISK LEVEL: Medium
COMPLIANCE: 0
LINK: [Compliance/Support Link](#)
VERIFICATION: Auto-Approved
APPROVAL STATUS: ☒ In a 2 Week Time
CATEGORY: ☒ Risk
NUMBER OF ATTEMPTS TO: 2
Reset

Risk Score Update History

DATE TIME	REAL NAME	MODE	RISK SCORE	RISK LEVEL
1 30 2023 7:27:24 AM	Auto System	Auto System	50	Medium
1 30 2023 7:26:12 AM	Auto System	Auto System	50	Medium
1 30 2023 7:25:40 AM	Auto System	Auto System	50	Medium
1 30 2023 7:24:58 AM	Auto System	Auto System	50	Medium
1 30 2023 7:21:36 AM	Auto System	Auto System	35	Medium
1 30 2023 7:20:53 AM	Auto System	Auto System	35	Medium
1 30 2023 7:19:06 AM	Auto System	Auto System	30	Low
1 30 2023 7:18:23 AM	Auto System	Auto System	30	Low
1 30 2023 7:17:24 AM	Auto System	Auto System	30	Low
1 30 2023 7:16:56 AM	Auto System	Auto System	30	Low
1 30 2023 7:15:12 AM	Auto System	Auto System	30	Low
1 30 2023 7:14:40 AM	Auto System	Auto System	30	Low
1 30 2023 7:14:20 AM	Auto System	Auto System	35	Low
1 30 2023 7:13:43 AM	Auto System	Auto System	35	Low
1 30 2023 7:13:52 AM	Auto System	Auto System	0	Low

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of birth	China	0
11	Consent to the document	China	25
12	Please tell us about your current employment status.	Student	0
14	Please tell us about business you may conduct in other country(ies) besides where you are registered for tax purposes?	No	0
15	Is your individual identity or business registered or conducted in preferred tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	< 100,000 USD or title of my turnover is in cash	0
17	Please tell us about your turnover, in individual or business activity, which is handled in cryptocurrency.	No	0
18	Please tell us about your turnover, in individual or business activity, which is handled in cryptocurrency.	No	0
19	Please tell us about your turnover, in individual or business activity, which is handled in cryptocurrency.	No	0
20	Please tell us about your turnover, in individual or business activity, which is handled in cryptocurrency.	No	0
21	Please tell us about your turnover, in individual or business activity, which is handled in cryptocurrency.	No	0
22	Please tell us about your turnover, in individual or business activity, which is handled in cryptocurrency.	No	0
23	Let us know what your type of experience is with cryptocurrency trading. Please select one:	No	0
24	How many years of experience do you have in crypto trading?	No	0
25	Are you an immediate family member of a close associate, employee, or have been related, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Comment

Add a comment...

Add Comment

Profile Balances Ledger Deposits Deposit Addresses Withdrawals Redemption Limits Withdrawal Addresses Bank Accounts Active Orders Completed Orders Trades Tiers Mail Queue Notifications Activity Error Log Export Attempts Referrals Referral Bonuses

Whitelisted IP's Risk Scoring Transaction Monitoring Security Question Supporting Documents

INTERNAL ID
MANUALRISKSCORE
TOTAL RISK SCORE
RISK LEVEL
COMPLIANCE ADVANTAGE
HITS
COMPLIANCE ADVANTAGE LINK
VERIFICATION
APPLICATION STATUS
CATEGORY
NUMBER OF ATTEMPT TO JUMP

LMC-87PB
0
30
Low
10
[Comply-Advantage Link](#)
Approved
Approved By: Compliance
3
Reset

Save & Inform User

Risk Score Update History

DATE & TIME	FULL NAME	ROLE	RISK SCORE	RISK LEVEL
01/3/2021 8:29:39 AM	Auto (System)	Auto (System)	30	Low

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of Birth	Taiwan	0
11	Citizenship on the document	Taiwan	25
12	Please tell us about your current employment status.	Employed	0
13	Please tell us about your occupation or business activity.	提合科技工程師	0
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
15	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	60-90k (most of my turnover is in cash)	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10k (none or little of my turnover is in cryptocurrency)	0
18	Please tell us about your source(s) of income (funds). Select more than one box if necessary.	Salary / business income	0
19	Please tell us about your source(s) of wealth? Select more than one box if necessary.	Inheritance, Employment Income	5
20	Please select the service(s) you plan to use. Select more than one box if necessary.	Crypto Trading, Long term investment, Arbitrage Trading, Participate in Initial Exchange Offering, Crypto payment	0
21	How much do you plan to deposit per year (USD and crypto combined)?	< 10,000	0
22	Please tell us which countries the funds will be received and/or transferred from.	Taiwan	0
23	Let us know what your level of experience is with cryptocurrency trading? Please select one:	Beginner	0
24	How many years of experience do you have in crypto trading?	< 1 Year	0
25	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Comment

Add a comment...

DATE & TIME	FULL NAME	ROLE	COMMENT
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11/9/2021 10:14:48 AM

Based on the KYC responses, the Customer is considered Low Risk. Screening is performed against the Customer. There are 13 hits against the searched English name. There are 10 hits against the searched Chinese name. All hits can be discounted due to name mismatch.

The Customer's occupation is composite materials engineer.

Overall, KYC Onboarding Analyst [REDACTED] is of the view that the initial AML, CTF assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with Low Risk (Risk Score 30).

Profile Balances Ledger Deposits Deposit Addresses Withdrawals Redemption Limits Withdrawal Addresses Bank Accounts Active Orders Completed Orders Trades Tiers Mail Queue Notifications Activity Error Log Export Attempts Referrals Referral Bonuses

Whitelisted IPs **Risk Scoring** Transaction Monitoring Security Question Supporting Documents

INTERNAL ID 0052815
 MANUAL RISK SCORE 60
 TOTAL RISK SCORE 60
 RISK LEVEL Medium
 COMPL. ADVANTAGE 0
 HTS
 COMPL. ADVANTAGE LINK [Click here to view link](#)
 VERIFICATION Approved
 APPLICATION STATUS Approved By Compliance
 CATEGORY JUMBO
 NUMBER OF ATTEMPT TO 3
 JUMBO Reset

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of birth	China	0
11	Citizenship on the document	United Kingdom	0
12	Please tell us about your current employment status.	Retired	0
13	Please tell us about your occupation or business activity.	Importer and wholesaler	0
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	NO	0
15	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	Yes Hong Kong	0
16	Please tell us about your annual turnover. In individual or business activity, which is handled in cash.	60-90% most of my turnover is in cash	0
17	Please tell us about your annual turnover. In individual or business activity, which is handled in cryptocurrency.	0-10% (none or little of my turnover is in cryptocurrency)	0
18	Please tell us about your source(s) of income. Select more than one box if necessary.	Pension / social benefits, investments, savings	0
19	Please tell us about your source(s) of wealth? Select more than one box if necessary.	Property sale, Employment income, Business profits	0
20	Please select the service(s) you plan to use. Select more than one box if necessary.	Crypto Trading, Long term investment, Crypto payment	5
21	How much do you plan to deposit per year (USD and crypto combined)?	10 000 100 000	0
22	Please tell us which countries the funds will be received and/or transferred from.	Hong Kong New Zealand United States	15
23	Let us know what your level of experience is with cryptocurrency trading? Please select one:	Beginner	0
24	How many years of experience do you have in crypto trading?	< 1 Year	0
25	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	NO	0

Comment

Add a comment...

Add Comment

DATE & TIME

FULL NAME

ROLE

COMMENT

4/29/2021 1:19:19 PM

Based on the KYC responses, the customer is Medium Risk considering the following risk factors according Customer Risk Model for Retail Customer:

Per Customer's reply in Zendesk, he was a green card holder until he went through official procedures with US embassy in Hong Kong and gave up his green card permanently since 2010. The reason for him to own a Bank of America account (joint account with son) is because he could support his son for college education (i.e. daily expenses), and he may remit some funds to his son for further investment since he does not trust the Hong Kong government.

Based on KYC questionnaire, customer's score is 20 points. In addition, client is retired and planning to deposit USD10K - 100K annually which accounts for additional 40 points. In total, client's risk score is 60 points which is not shown in KYC questionnaire.

Screening is performed against the Customer and there is 0 hit. Overall, Senior KYC onboarding analyst [REDACTED] is of the view that the initial AML CTR assessment result is consistent with Customer's profile and does not oppose the decision to onboard the Customer with Medium Risk - Risk Score 60.

Profile Balances Ledger Deposits Deposit Addresses Withdrawals Redemption Limits Withdrawal Addresses Bank Accounts Active Orders Completed Orders Trades Tiers Mail Queue Notifications Activity Error Log Exploit Attempts Referrals Referral Bonuses

Whitelisted IP's **Risk Scoring** Transaction Monitoring Security Question Supporting Documents

INTERNAL ID	W64ANPU
MANUAL RISK SCORE	0 <input type="text"/>
TOTAL RISK SCORE	30
RISK LEVEL	Low
COMPLIANCE ADVANTAGE	HITS 3
COMPLIANCE ADVANTAGE LINK	Compliance Advantage Link
VERIFICATION	Approved
APPLICATION STATUS	Approved
CATEGORY	Approved By Compliance
NUMBER OF ATTEMPT TO	1
JUMBO	Reset

Risk Score Update History

DATE & TIME	FULL NAME	ROLE	RISK SCORE	RISK LEVEL
0/15/2021 10:16:52 AM	Auto (System)	Auto (System)	30	Low
0/15/2021 10:14:50 AM	Auto (System)	Auto (System)	30	Low

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of birth	China	0
11	Citizenship on the document	China	25
12	Please tell us about your current employment status.	Unemployed	0
13	Please tell us about business you may conduct in other country(ies) besides where you are registered for tax purposes?	No	0
14	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
15	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10k (none or little of my turnover is in cash)	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10k (none or little of my turnover is in cryptocurrency)	0
17	Please tell us about your sources of income/funds. Select more than one box if necessary.	Savings	0
18	Please tell us about your sources of income/funds. Select more than one box if necessary.	Employment income	0
19	Please select the service(s) you plan to use. Select more than one box if necessary.	Crypto Trading, Long term investment, Crypto payment	5
20	How much do you plan to deposit per year (USD and crypto combined)?	< 10 000	0
21	Let us know what your level of experience is with cryptocurrency trading? Please select one:	Korea (South)	0
22	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	Beginner	0
23		< 1 Year	0
24		No	0
25		No	0

Add a comment...

Add a comment...

Add Comment

Add Comment

11:17:2021 1:11:54 PM

COMMENT

Based on the KYC responses, the Customer is Low Risk considering the following risk factors. Customer is Citizen of China. Screening is performed against the Customer's English and Chinese Characters and there are 14 hits and all name mismatch. Overall, Senior KYC onboarding analyst [REDACTED] is of the view that the initial AML/CTF assessment result is consistent with Customer's profile and does not oppose the decision to onboard the Customer with Low Risk - Risk Score 30.

Profile Balances Ledger Deposits Deposit Addresses Withdrawals Redemption Limits Withdrawal Addresses Bank Accounts Active Orders Completed Orders Trades Tiers Mail Queue Notifications Activity Error Log Exploit Attempts Referrals Referral Bonuses

Whitelisted IPs Risk Scoring Transaction Monitoring Security Question Supporting Documents

INTERNAL ID

MANUALRISKSCORE

0

Save

TOTAL RISK SCORE

30

RISK LEVEL

Low

COMPLY/ADVANTAGE

HITS

0

COMPLY/ADVANTAGE LINK

[Comply-Advantage Link](#)

VERIFICATION

Approved

APPLICATION STATUS

Approved

CATEGORY

Approved By: Compliance

NUMBER OF ATTEMPT TO

1

Reset

JUMBO

Reset

Risk Score Update History

DATE & TIME	FULL NAME	ROLE	RISK SCORE	RISK LEVEL
11/11/2021 3:08:08 PM	Auto (System)	Auto (System)	30	Low
11/11/2021 3:07:11 PM	Auto (System)	Auto (System)	30	Low

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of Birth	Singapore	0
11	Citizenship on the document	Singapore	25
12	Please tell us about your current employment status.	Employed	0
13	Please tell us about your occupation or business activity.	Technology and communications-Telecommunication	5
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
15	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
16	Please tell us about your annual turnover. In individual or business activity, which is handled in cash.	0-10K (none or little of my turnover is in cash)	0
17	Please tell us about your annual turnover. In individual or business activity, which is handled in cryptocurrency.	0-10K (none or little of my turnover is in cryptocurrency)	0
18	Please tell us about your sources of income/funds. Select more than one box if necessary.	Salary / Business Income	0
19	Please tell us about your sources of wealth? Select more than one box if necessary.	Employment Income	0
20	Please select the service(s) you plan to use. Select more than one box if necessary.	Crypto Trading, Long term investment, Participate in initial Exchange Offering	0
21	How much do you plan to deposit per year (USD and crypto combined)?	10 000 100 000	0
22	Please tell us which countries the funds will be received and/or transferred from.	Singapore	0
23	Let us know what your level of experience is with cryptocurrency trading? Please select one:	Intermediate	0
24	How many years of experience do you have in crypto trading?	1 - 3 Years	0
25	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Comment

Add a comment...

Add Comment

DATE & TIME

FULL NAME

ROLE

COMMENT

Based on the KYC responses, the Customer is considered Low Risk. Screening is performed against the Customer with 1 hit (Chinese) and 0 hit (English). Discounted due to name mismatch.

11/22/2021 11:06:03 AM

Customer's name matches that in the ID provided. The HEX status of 'rejected' due to 'full name differ' is hence ignored.
Overall, Compliance Officer [REDACTED] is of the view that the initial AML/CTF assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with Low Risk 30.

Profile Balances Ledger Deposits Deposit Addresses Withdrawals Redemption Limits Withdrawal Addresses Bank Accounts Active Orders Completed Orders Trades Tiers Mail Queue Notifications Activity Error Log Export Attempts Referrals Referral Bonuses

Whitelisted IPs **Risk Scoring** Transaction Monitoring Security Question Supporting Documents

INTERNAL ID	5128573
MANUAL RISK SCORE	0
TOTAL RISK SCORE	46
RISK LEVEL	Medium
COMPLIANCE ADVANTAGE	0
HITS	0
COMPLIANCE ADVANTAGE LINK	Compliance Advantage Link
VERIFICATION	Approved
APPLICATION STATUS	Approved By Compliance
CATEGORY	Approved By Compliance
NUMBER OF ATTEMPT TO	7
JMIMO	Reset

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of birth	China	0
11	Citizenship on the document	China	31
12	Please tell us about your current employment status.	Employed	0
13	Please tell us about your occupation or business activity.	跨境电商	0
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
15	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10K (none or little of my turnover is in cash)	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10K (none or little of my turnover is in cryptocurrency)	0
18	Please tell us about your sources of income funds. Select more than one box if necessary.	Salary / business income, loan / Credit	0
19	Please tell us about your sources of wealth? Select more than one box if necessary.	Employment income, Business profits	0
20	Please select the service(s) you plan to use. Select more than one box if necessary.	Crypto Trading, Long term investment	0
21	How much do you plan to deposit per year (USD and crypto combined)?	< 10 000	15
22	Please tell us which countries the funds will be received and/or transferred from.	China	0
23	Let us know what your level of experience is with cryptocurrency trading? Please select one.	Beginner	0
24	How many years of experience do you have in crypto trading?	< 1 Year	0
25	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Comment

ADD A COMMENT...

Add Comment

DATE & TIME

FILE NAME

ROLE

COMMENT

Based on the KYC responses, the Customer is Medium Risk considering the following risk factors:
 -Chinese citizenship per China ID (31)
 -Customer told us the funds will be received and/or transferred from China (15)
 So, the total risk rating score is 31+15=46.

After checking, the name customer inputted in system is matched with his China ID. The original status "full name differ" can be discounted. Screening is performed against the Customer. There are 2 hits against the searched Chinese name. All the potential hits are false hits as full name mismatch.

Customer is working as a surrogate driver.

Overall, KYC Onboarding Analyst [REDACTED] is of the view that the initial AML-CTF assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with Medium Risk (Risk score 46).

6/2/2021 10:40:06 AM

Profile Balances Ledger Deposits Deposit Addresses Withdrawals
Withdrawal PPs Risk Scoring Transaction Monitoring Security Question Supporting Documents

INTERNAL ID
MANUALRISKSCORE
TOTAL RISK SCORE
RISK LEVEL
COMPL ADVANTAGE
HITS

5589ADQ
0
50
Medium
2

See

COMPL ADVANTAGE
LINK

Crypto Advantage Link

VERIFICATION
APPLICATION STATUS
CATEGORY

Approved

Approved By Compliance

Save & Inform User

NUMBER OF ATTEMPT TO
JUMBO

5

Reset

NYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
1	Place of Birth	Taiwan	0
2	Citizenship on the document	Taiwan	25
3	Please tell us about your current employment status.	Retired	0
4	Please tell us about your occupation or business activity.	Health and wellness-Health care	5
5	Please tell us about business you may conduct in or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
6	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
7	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10K (none or little of my turnover is in cash)	0
8	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10K (none or little of my turnover is in cryptocurrency)	0
9	Please tell us about your source(s) of income/funds. Select more than one box if necessary.	Pension / Social benefits	0
10	Please tell us about your source(s) of wealth? Select more than one box if necessary.	Inheritance	0
11	Please select the service(s) you plan to use. Select more than one box if necessary.	Crypto Trading, Long term investment, Arbitrage Trading, Participate in Initial Exchange Offering, Crypto payment	5
12	How much do you plan to deposit per year (USD and crypto combined)?	< 10 000	0
13	Please tell us which countries the funds will be received and/or transferred from.	Taiwan	15
14	Let us know what your level of experience is with cryptocurrency trading? Please select one.	Australia	0
15	How many years of experience do you have in crypto trading?	United States	0
16	Are you, an immediate family member or a close associate, entrusted, or have been entrusted with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	Beginner	0
17		< 1 Year	0

Comment

Add a comment...

Add Comment

DATE & TIME	FULL NAME	ROLE	COMMENT
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6/11/2021 4:41:34 PM

Based on the NYC responses, the Customer is Medium Risk considering the following risk factors:

[23] Citizenship: Taiwan

[15] Funds will be received and/or transferred from: Taiwan, Australia, United States. As per email #92746, customer declared she has no relationship with the US (neither a US citizen nor US tax resident). She declared that she may open a US account in the future.

[5] occupation or business activity: Health and wellness-Health care

[5] service(s) you plan to use: Crypto Trading, Long term investment, Arbitrage Trading, Participate in Initial Exchange Offering, Crypto payment

Screening is performed against the Customer. There are 2 hits (Chinese) and 2 hits (English) against the searched names. 2 hits are discounted due to non-AML CTR related and location difference. All the remaining hits are discounted due to name mismatch.

Overall, KYC Onboarding Analysis [REDACTED] is of the view that the Initial AML CTR assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with Medium Risk SO.

6/9/2021 10:36:56 AM

6/9/2021 10:36:56 AM

Profile Balances Ledger Deposits Deposit Addresses Withdrawals Redemption Limits Withdrawal Addresses Bank Accounts Active Orders Completed Orders Trades Tiers Mail Queue Notifications Activity Error Log Export Attempts Referrals Referral Bonuses

Whitelisted IPs Risk Scoring Transaction Monitoring Security Question Supporting Documents

INTERNAL ID340UMSK

MANUAL RISK SCORE0

TOTAL RISK SCORE36

RISK LEVELMedium

COMPLY ADVANTAGE HITS0

COMPLY ADVANTAGE LINKComply Advantage Link

VERIFICATIONApproved

APPLICATION STATUSApproved

CATEGORYApproved By: Compliance

NUMBER OF ATTEMPT TO1

RESET

Save & Inform User

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of birth	China	0
11	Citizenship on the document	China	31
12	Please tell us about your current employment status.	Employed	0
13	Please tell us about your occupation or business activity.	餐饮服务	0
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
15	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	90-100% (all or almost all of my turnover is in cash)	0
17	Please tell us about your sources of income / funds. Select more than one box if necessary.	0-10% (none or little of my turnover is in cryptocurrency)	0
18	Please select the service(s) you plan to use. Select more than one box if necessary.	Salary / business income, Savings	0
19	How much do you plan to deposit per year USD and crypto combined?	Employment income	0
20	Please tell us which countries the funds will be received and / or transferred from.	Crypto Trading, Long term investment, Participate in Initial Exchange Offering, Crypto payment	5
21	Let us know what your level of experience is with cryptocurrency trading? Please select one.	< 10,000	0
22	How many years of experience do you have in crypto trading?	Singapore	0
23	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	Beginner	0
24		< 1 Year	0
25		No	0

Comment

Add a comment...

Add Comment

DATE & TIME	FULL NAME	ROLE	COMMENT
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5/12/2021 1:02:46 PM

Based on the KYC responses, the Customer is Medium Risk considering the following risk factors:
-Chinese citizenship on per China Passport (31)
-Customer chose Crypto Trading, Long term investment, Participate in Initial Exchange Offering, Crypto payment as the services he plan to use (5)
So, the total risk rating score is 31+5=36.
Screening is performed against the Customer. There are 4 hits against the searched Chinese name and 0 hit against the English name. All the potential hits can be discounted due to image, full name mismatch.
Overall, KYC Onboarding Analysis [REDACTED] is of the view that the initial AML, CTF assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with medium risk (Risk score 36).

INTERNAL ID

AAU21UA

MANUALRISKSCORE

0

Save

TOTAL RISK SCORE

10

RISK LEVEL

Low

COMPLYADVANTAGE

HITS

0

COMPLYADVANTAGE LINK

ComplyAdvantage Link

VERIFICATION

APPLICATION STATUS

Auto-Approved

CATEGORY

NUMBER OF ATTEMPT TO

2

Reset

NUMID

Save & Inform User

Risk Score Update History

DATE & TIME	FULL NAME	ROLE	RISK SCORE	RISK LEVEL
10/28/2021 11:57:00 AM	Auto (System)	Auto (System)	10	Low

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of birth	United Kingdom	0
11	Citizenship on the document	United Kingdom	0
12	Please tell us about your current employment status.	Employed	0
13	Please tell us about your occupation or business activity.	Services - Legal Services	5
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
15	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10% (none or little of my turnover is in cash)	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10% (none or little of my turnover is in cryptocurrency)	0
18	Please tell us about your source(s) of income / funds. Select more than one box if necessary.	Salary / Business Income	0
19	Please select the service(s) you plan to use. Select more than one box if necessary.	Employment Income	0
20	How much do you plan to deposit per year (USD and crypto combined)?	Crypto Trading, Long term investment, Arbitrage Trading, Participate in Initial Exchange Offering, Crypto payment	5
21	Please tell us which countries the funds will be received and / or transferred from.	United Kingdom	0
22	Let us know what your level of experience is with cryptocurrency trading? Please select one:	Beginner	0
23	How many years of experience do you have in crypto trading?	< 1 Year	0
24	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Comment

Add a comment...

Add Comment

NUMBER OF ATTEMPT TO
JUMIO 5 Reset

[illegible]

QUESTION

0	Place of birth	China	31
1	Citizenship on the document	China	0
2	Please tell us about your current employment status.	Employed	0
3	Please tell us about your occupation or business activity.	Other services-Non-profit, charity	100
4	Please tell us about business you may conduct in other country's border where you are registered for tax purposes?	No	0
5	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
6	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10% (none or little of my turnover is in cash)	0
7	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrencies.	0-10% (none or little of my turnover is in cryptocurrencies)	0
8	Please tell us about your sources of income (cash). Select more than one box if necessary.	Salary / business income	0
9	Please tell us about your sources of wealth. Select more than one box if necessary.	Employment income	0
10	Please select the services you plan to use. Select more than one box if necessary.	Crypto Trading, Long term investment, Participates in initial exchange offering, Crypto payment	5
11	How much do you plan to deposit per year (USD and crypto combined)?	< 10 000	0
12	Please tell us which countries the funds will be received and/or transferred from.	China	15
13	Let us know what your level of experience is with cryptocurrency trading? Please select one	United States	0
14	How many years of experience do you have in crypto trading?	Beginner	0
15	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution. This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	< 1 Year	0
16		No	0

Comment

Add Comment

6/1/2021 8:54:20 AM

5/29/2021 2:08:25 PM

2023 10 11 16 AM

[illegible]

Profile Balances Ledger Deposits Deposit Addresses Withdrawals Redemption Limits Withdrawal Addresses Bank Accounts Active Orders Completed Orders Trades Tiers Mail Queue Notifications Activity Error Log Export Attempts Referrals

Referral Bonuses Withhelded IPS **Risk Scoring** Transaction Monitoring Security Question Supporting Documents

INTERNAL ID V9W73H5

MANUAL RISK SCORE 0 Save

TOTAL RISK SCORE 40

RISK LEVEL Medium

COMPLY/ADVANTAGE 0

HITS

COMPLY/ADVANTAGE LINK [Comply/Advantage Link](#)

VERIFICATION Approved

APPLICATION STATUS Approved

CATEGORY Approved By Compliance

NUMBER OF ATTEMPT TO 1

JUMIO

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of birth	China	0
11	Citizenship on the document	China	25
12	Please tell us about your current employment status.	Retired	0
13	Please tell us about your occupation or business activity.	Housewife	0
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
15	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10% (none or little of my turnover is in cash)	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10% (none or little of my turnover is in cryptocurrency)	0
18	Please tell us about your source(s) of income/funds. Select more than one box if necessary.	Savings	0
19	Please select the service(s) you plan to use. Select more than one box if necessary.	Employment Income	0
20	How much do you plan to deposit per year (USD and crypto combined)?	Long term investment	0
21	Please tell us which countries the funds will be received and/or transferred from.	< 10 000	0
22	Let us know what your level of experience is with cryptocurrency trading? Please select one:	China	15
23	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	Beginner	0
24		< 1 Year	0
25		No	0

Comment

Add a comment...

Add Comment

DATE & TIME

FULL NAME

ROLE

COMMENT

6/10/2021 3:14:28 PM

Based on the KYC responses, the Customer is Medium Risk considering the following risk factors: Citizenship of China (25), and fund being transferred from China (15)

Screening is performed against the Customer. There are 0 hits against the searched names. In detail, they are false possible hits discounted by Full Name Not Match.

Overall, KYC Onboarding Analyst [REDACTED] is of the view that the initial AML/CTF assessment result is consistent with the Customer's profile and does not oppose the decision to onboarding the Customer with Medium Risk - 40 points.

CONFIDENTIAL

[illegible]

Risk Score Update History

DATE	NAME	AGE	SCORE
17/03/2024 08:55 AM	Adia Dnyana	10	100
17/03/2024 10:01 AM	Adia Dnyana	10	100

KYC Questionnaire

[illegible]

Comment

[illegible][illegible]

[illegible]

NAME	STATUS	SCORE	REMARKS
MANABABU	VERIFIED	85	High
TOTAL 25A	VERIFIED	85	High
REMARKS	VERIFIED	85	High
COMPARATIVE	VERIFIED	85	High
RESULTS	VERIFIED	85	High
EDUCATIONAL	VERIFIED	85	High
LINK	VERIFIED	85	High
VERIFICATION	VERIFIED	85	High
APPLICATION	VERIFIED	85	High
CATEGORY	VERIFIED	85	High
NUMBER OF	VERIFIED	85	High
ATTEMPT TO	VERIFIED	85	High
JOINT	VERIFIED	85	High

Task Score Update History

12/15/2022 9:58 AMKYC Questionnaire

Page # of form	Country	Answer
1	China	0
2	China	23
3	Kenya	0
4	Kenya	0
5	Kenya	0
6	Kenya	0
7	Kenya	0
8	Kenya	0
9	Kenya	0
10	Kenya	0
11	Kenya	0
12	Kenya	0
13	Kenya	0
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97	Kenya	0
98	Kenya	0
99	Kenya	0
100	Kenya	0

[illegible][illegible][illegible]

INTERNAL ID UNS08WV

MANUALRISKSCORE 0

TOTAL RISK SCORE 30

RISK LEVEL Low

COMPLYADVANTAGE 0

HITS

COMPLYADVANTAGE LINK

LINK

VERIFICATION Approved

APPLICATION STATUS

CATEGORY Approved by Compliance

NUMBER OF ATTEMPT TO 1

STATUS Risked

STATUS & Action Link

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of birth	China	0
11	Citizenship on the document	China	23
12	Please tell us about your current employment status.	Employed	0
13	Please tell us about your occupation or business activity.	Technology and communication- information technology	5
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
15	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10k (none or little of my turnover is in cash)	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10k (none or little of my turnover is in cryptocurrency)	0
18	Please tell us about your source(s) of income/ funds. Select more than one box if necessary.	Salary business income	0
19	Please tell us about your source(s) of wealth? Select more than one box if necessary.	Employment income	0
20	Please select the service(s) you plan to use. Select more than one box if necessary.	Long term investments	0
21	How much do you plan to deposit per year (USD and crypto combined)?	10 000 100 000	0
22	Please tell us which countries the funds will be received and/or transferred from.	Switzerland	0
23	Let us know what your level of experience is with cryptocurrency trading? Please select one.	Intermediate	0
24	How many years of experience do you have in crypto trading?	< 1 Year	0
25	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Comment

Add a comment...

Add Comment

DATE & TIME 4/27/2021 11:13:54 AM

FULL NAME

ROLE

COMMENT

Based on the KYC responses, the Customer is considered Low Risk. Screening is performed against the Customer's English and Chinese hits.

Email notification has also been sent to the Customer due to his country of residency in China.

Overall, Senior KYC Onboarding Analyst is of the view that the initial AML/CTF assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with Low Risk (30).

Profile Balances Ledger Deposits Deposit Addresses Withdrawals Redemption Limits Withdrawal Addresses Bank Accounts Active Orders Completed Orders Trades Tiers Mail Queue Notifications Activity Error Log Export Attempts Referrals Referral Bonuses

Whitelisted IPs Risk Scoring Transaction Monitoring Security Question Supporting Documents

INTERNAL ID	KL2BW
MANUAL RISK SCORE	56
TOTAL RISK SCORE	56
RISK LEVEL	Medium
COUNTRY ADVANTAGE	0
HITS	0
COUNTRY ADVANTAGE LINK	Country Advantage Link
VERIFICATION	Approved
APPLICATION STATUS	Approved
CATEGORY	Approved By: Consensus
NUMBER OF ATTEMPT TO	3
JUMIO	Reset
Save & Return User	

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of birth	China	0
11	Citizenship on the document	China	31
12	Please tell us about your current employment status.	Retired	0
13	Please tell us about your occupation or business activity.	Other services-farming activity	5
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
15	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10% (none or little of my turnover is in cash)	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10% (none or little of my turnover is in cryptocurrency)	0
18	Please tell us about your sources of income/funds. Select more than one box if necessary.	Pension / Social Benefits, Savings	0
19	Please tell us about your sources of wealth? Select more than one box if necessary.	Initialance, Property sale	0
20	Please select the services you plan to use. Select more than one box if necessary.	Crypto Trading, Long term investment, Participate in Initial Exchange Offering, Crypto payment	5
21	How much do you plan to deposit per year (USD and crypto combined)?	< 10,000	0
22	Please tell us which countries the funds will be received and/or transferred from.	China	15
23	Let us know what your level of experience is with cryptocurrency trading? Please select one:	Beginner	0
24	How many years of experience do you have in crypto trading?	< 1 Year	0
25	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Comment

Add a comment...

Add Comment

DATE & TIME

FILE NAME

ROLE

COMMENT

Based on the KYC responses, the Customer is Medium Risk considering the following risk factors:

- Client's Citizenship per China ID (A1)
- The occupation is Other services-farming activity (5)
- Customer chose Crypto Trading, Long term investment, Participate in Initial Exchange Offering, Crypto payment as the services she plan to use (5)
- Customer told us the funds will be received and/or transferred from China (15)
- So, the total risk rating score is 31+5+5+15=56.

Screening is performed against the Customer. There is 1 hit against the searched Chinese name and 0 against the English name. The potential hit are also hit as full name mismatch.

Overall, KYC Onboarding Analyst [REDACTED] is of the view that the initial AML CTR assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with medium risk (risk score 56).

Notification email has been sent to the Customer due to her country of residency in China.

12/27/2021 10:11:14 AM

INTERNAL ID	63502Q
MANUAL RISK SCORE	0
TOTAL RISK SCORE	46
RISK LEVEL	Medium
COMPLY ADVANTAGE	100
HITS	100
COMPLY ADVANTAGE LINK	Comply Advantage Link
VERIFICATION LINK	Verification Link
VERIFICATION STATUS	Approved
APPLICATION STATUS	Approved By Compliance
CATEGORY	Save & Inform User
NUMBER OF ATTEMPT TO JUMBO	7
Reset	Reset

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
1	Place of birth	China	0
2	Citizenship on the document	China	31
3	Please tell us about your current employment status.	Unemployed	0
4	Please tell us about business you may conduct in other country(ies) besides where you are registered for tax purposes?	No	0
5	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
6	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10% (none or little of my turnover is in cash)	0
7	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10% (none or little of my turnover is in cryptocurrency)	0
8	Please tell us about your sources of income (funds). Select more than one box if necessary.	Salary / business income	0
9	Please tell us about your sources of wealth? Select more than one box if necessary.	Employment income	0
10	Please select the service(s) you plan to use. Select more than one box if necessary.	Long term investment, Participate in Initial Exchange Offering	0
11	How much do you plan to deposit per year (USD and crypto combined)?	< 10 000	0
12	Please tell us which countries the funds will be received and/or transferred from.	United Arab Emirates	15
13	Let us know what your level of experience is with cryptocurrency trading? Please select one.	China	0
14	How many years of experience do you have in crypto trading?	Beginner	0
15	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	< 1 Year	0
16		No	0

Comment

Add a comment...

DATE & TIME	FULL NAME	ROLE	COMMENT
-------------	-----------	------	---------

5/20/2021 2:13:21 PM			[Updated by KYC Onboarding Analyst, ██████████] She is a China national residing in UAE (31) No email notification will be sent to the Customer due to her country of residency after approval. Based on the KYC responses, the Customer is medium risk considering the following risk factors: She is a China national residing in China (31) Her origin of funds include UAE and China (15) Screening is performed against the Customer's Chinese and English names. There are 200 hits against the searched names and the potential hits are discounted as age mismatch, no AML/CTF related and customer declared that she is not a member of the Local Council or Standing Committee of Daxing Municipal People's Congress of China (1874). Other hits are discounted due to name mismatch. Email notification will be sent to the Customer due to her country of residency after approval.
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Overall, KYC Onboarding Analyst, ██████████ is of the view that the initial AML/CTF assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with Medium Risk (46).

INTERNAL ID E1729L
MANUAL RISK SCORE 0 Save
TOTAL RISK SCORE 56
RISK LEVEL Medium
COMPLY ADVANTAGE 0
HITS
COMPLY ADVANTAGE LINK Comply Advantage Link
VERIFICATION Approved
APPLICATION STATUS
CATEGORY Approves By: Compliance Save & Inform User
NUMBER OF ATTEMPT TO 6
JUMBO Reset

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
1	Place of birth	China	0
2	Citizenship on the document	China	31
3	Please tell us about your current employment status.	Employed	0
4	Please tell us about your occupation or business activity.	Technology and communications-information technology	5
5	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
6	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
7	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0--10% (none or little of my turnover is in cash)	0
8	Please tell us about your annual turnover, in individual or business activity, which is handled in crypto.	0--10% (none or little of my turnover is in cryptocurrency)	0
9	Please tell us about your source(s) of income funds. Select more than one box if necessary.	Salary / business income, investments, savings	0
10	Please tell us about your source(s) of wealth. Select more than one box if necessary.	Property sale, employment income, business profits	0
11	Please select the service(s) you plan to use. Select more than one box if necessary.	Crypto Trading, Long term investment, Participate in Initial Exchange Offering, Crypto payment	5
12	How much do you plan to deposit per year (USD and crypto combined)?	10 000 100 000	15
13	Please tell us which countries the funds will be received and/or transferred from.	China	0
14	Let us know what your level of experience is with cryptocurrency trading. Please select one:	Beginner	0
15	How many years of experience do you have in crypto trading?	1 - 3 years	0
16	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Comment

ADD A COMMENT...

Add Comment

DATE & TIME

FULL NAME

ROLE

COMMENT

8/2/2021 8:24:36 AM

Based on the KYC responses, the Customer is Medium Risk considering the following risk factors:
-Chinese Citizenship per China ID (31)
-The occupation is technology and communications-information technology (5)
-Customer chose Crypto Trading, Long term investment, Participate in Initial Exchange Offering, Crypto payment as the services he plan to use (5)
-Customer told us the funds will be received and/or transferred from China (15)
So, the total risk rating score is 31+5+5+15=56.
After checking, the name customer inputted in system is matched with his China ID. The original status "full name differ" can be discounted.
Screening is performed against the Customer. There is no hit against the searched Chinese name.
Overall, KYC Onboarding Analyst [REDACTED] is of the view that the initial AML, CTF assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with Medium Risk [Risk score 56].

[illegible]

Page	Page no.	Page title
1	1	Page 1 of 1
2	2	Page 2 of 2
3	3	Page 3 of 3
4	4	Page 4 of 4
5	5	Page 5 of 5
6	6	Page 6 of 6
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9	9	Page 9 of 9
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99	99	Page 99 of 99
100	100	Page 100 of 100

Add Comments

[illegible]

10/20/2023 4:05:23

[illegible]

18:20:11 10:00

This study is classified as Non-Eurocentric because it explores
Brazilian history of 1990s

[illegible]

INTERNAL ID	C850850
MANUAL RISK SCORE	0
TOTAL RISK SCORE	36
RISK LEVEL	Medium
COMPLY/ADVANTAGE	2
HITS	
COMPLY/ADVANTAGE LINK	Comply/Advantage Link
LINK	
VERIFICATION	Approved
APPLICATION STATUS	Approved
CATEGORY	Approved by Compliance
NUMBER OF ATTEMPT TO	1
JUNIO	Reset
Save & Inform User	

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
1	Place of birth	China	0
2	Citizenship on the document	China	31
3	Please tell us about your current employment status.	Employed	0
4	Please tell us about your occupation or business activity.		0
5	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
6	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
7	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10% (none or little of my turnover is in cash)	0
8	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10% (none or little of my turnover is in cryptocurrency)	0
9	Please tell us about your source(s) of income: funds. Select more than one box if necessary.	工资	0
10	Please select the service(s) you plan to use. Select more than one box if necessary.	Employment Income	0
11	How much do you plan to deposit per year (USD and crypto combined)?	Crypto Trading, Long term investment, Crypto payment	5
12	Please tell us which countries the funds will be received and/or transferred from.	< 10,000	0
13	Let us know what your level of experience is with cryptocurrency trading? Please select one:	Singapore	0
14	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	Beginner	0
15		< 1 Year	0

Comment

Add a comment...

Add Comment

DATE & TIME	FULL NAME	ROLE	COMMENT
-------------	-----------	------	---------

1/10/2021 10:39:28 AM

Based on the KYC responses, the Customer is Medium Risk considering the following risk factors:

1. Chinese citizenship per PIC passport
2. Selected services include Crypto Trading & Crypto Payment

Screening is performed against the customer. There are 2 hits against the searched English name. There are 4 hits against the searched Chinese name.

All hits are discounted as NAME MISMATCH, DOB MISMATCH, ADVERSE MEDIA UNRELATED TO ANL, CTF.

Overall, Senior KYC Onboarding Analyst: [REDACTED] is of the view that the initial ANL/CTF assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with Medium Risk [36].

Profile Balances Ledger Deposits Deposit Addresses Withdrawals Redemption Limits Withdrawal Addresses Bank Accounts Active Orders Completed Orders Trades Tiers Mail Queue Notifications Activity Error Log Export Attempts Referrals Referral Bonuses

Whitelisted IP: Risk Scoring Transaction Monitoring Security Question Supporting Documents

INTERNAL ID	KGCHVN
MANUALRISKSCORE	0
TOTAL RISK SCORE	45
RISK LEVEL	Medium
COMPLYADVANTAGE	0
HTS	
COMPLYADVANTAGE LINK	ComplyAdvantage Link
VERIFICATION	
APPLICATION STATUS	Auto-Approved
CATEGORY	Bank & Inform User
NUMBER OF ATTEMPT TO	1
JUMIO	Reset

Risk Score Update History

DATE & TIME	FULL NAME	ROLE	RISK SCORE	RISK LEVEL
3/15/2023 3:05:41 PM			45	Medium

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of birth	China	0
11	Citizenship on the document	China	25
12	Please tell us about your current employment status.	Employed	0
13	Please tell us about your occupation or business activity.	加密货币	0
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
15	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10K (none or little of my turnover is in cash)	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10K (none or little of my turnover is in cryptocurrency)	0
18	Please tell us about your source(s) of income/funds. Select more than one box if necessary.	Salary / business income	0
19	Please tell us about your source(s) of wealth. Select more than one box if necessary.	Employment Income	0
20	Please select the service(s) you plan to use. Select more than one box if necessary.	Crypto Trading, Long term investment, Crypto payment	5
21	How much do you plan to deposit per year (USD and crypto combined)?	10 000 100 000	0
22	Please tell us which countries the funds will be received and/or transferred from.	China	15
23	Let us know what your level of experience is with cryptocurrency trading? Please select one:	Beginner	0
24	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	< 1 Year	0
25		No	0

Comment

350 8 COMMENTS...

Add Comment

INTERNAL ID
MANUALRISKSCORE
TOTAL RISK SCORE
RISK LEVEL
COMPLYADVANTAGE
HITS
COMPLYADVANTAGE LINK
VERIFICATION
APPLICATION STATUS
CATEGORY

CNF1PAS
0
5
Low
0
[Compliance Link](#)
Auto-Approved
JUMIO

Save & Inform User

NUMBER OF ATTEMPT TO
2
Reset

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of Birth	Hong Kong	0
11	Citizenship on the document	United Kingdom	0
12	Please tell us about your current employment status.	Employed	0
13	Please tell us about your occupation or business activity.	Trade - Trade in means of transport	5
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
15	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10k (none or little of my turnover is in cash)	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10k (none or little of my turnover is in cryptocurrency)	0
18	Please tell us about your source(s) of income/funds. Select more than one box if necessary.	Salary / business income	0
19	Please tell us about your source(s) of wealth? Select more than one box if necessary.	Employment income	0
20	Please select the service(s) you plan to use. Select more than one box if necessary.	Long term investment	0
21	How much do you plan to deposit per year (USD and crypto combined)?	< 10 000	0
22	Please tell us which countries the funds will be received and/or transferred from.	United Kingdom	0
23	Let us know what your level of experience is with cryptocurrency trading? Please select one.	Beginner	0
24	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	< 1 Year	0
25		No	0

Comment

Add a comment...

Add Comment

[illegible]

Author		Year	Journal	Volume	Issue	Pages	DOI
1	Wang, Y., & Zhang, J.	2023	Journal of Environmental Science	45	1	1-10	10.1016/j.jes.2023.01.001
2	Li, X., & Chen, H.	2022	Environmental Pollution	30	2	201-210	10.1016/j.envpol.2022.02.002
3	Chen, M., & Wang, L.	2021	Water Science and Technology	85	3	456-465	10.1016/j.wst.2021.03.003
4	Wang, L., & Chen, M.	2020	Journal of Hazardous Materials	390	1	1-10	10.1016/j.jhazmat.2020.01.004
5	Chen, H., & Li, X.	2019	Environmental Science and Technology	53	1	1-10	10.1021/acs.est.9b00001
6	Wang, J., & Zhang, Y.	2018	Journal of Environmental Science	40	1	1-10	10.1016/j.jes.2018.01.005
7	Chen, Y., & Wang, J.	2017	Environmental Pollution	220	1	1-10	10.1016/j.envpol.2017.01.006
8	Wang, Y., & Chen, Y.	2016	Water Science and Technology	75	1	1-10	10.1016/j.wst.2016.01.007
9	Chen, J., & Wang, Y.	2015	Journal of Hazardous Materials	285	1	1-10	10.1016/j.jhazmat.2015.01.008
10	Wang, J., & Chen, J.	2014	Environmental Science and Technology	48	1	1-10	10.1021/acs.est.4b00001

QUESTION	QUESTION	QUESTION	QUESTION
1. What is the purpose of the study?	2. What is the research design?	3. What is the sample size?	4. What is the data collection method?
5. What is the main finding?	6. What are the limitations?	7. What are the strengths?	8. What are the implications?
9. What is the conclusion?	10. What is the recommendation?	11. What is the future research?	12. What is the final thought?

Case No.	Case Name	Case No.	Case Name
1	Case No. 1	2	Case No. 2
3	Case No. 3	4	Case No. 4
5	Case No. 5	6	Case No. 6
7	Case No. 7	8	Case No. 8
9	Case No. 9	10	Case No. 10
11	Case No. 11	12	Case No. 12
13	Case No. 13	14	Case No. 14
15	Case No. 15	16	Case No. 16
17	Case No. 17	18	Case No. 18
19	Case No. 19	20	Case No. 20
21	Case No. 21	22	Case No. 22
23	Case No. 23	24	Case No. 24
25	Case No. 25	26	Case No. 26
27	Case No. 27	28	Case No. 28
29	Case No. 29	30	Case No. 30
31	Case No. 31	32	Case No. 32
33	Case No. 33	34	Case No. 34
35	Case No. 35	36	Case No. 36
37	Case No. 37	38	Case No. 38
39	Case No. 39	40	Case No. 40
41	Case No. 41	42	Case No. 42
43	Case No. 43	44	Case No. 44
45	Case No. 45	46	Case No. 46
47	Case No. 47	48	Case No. 48
49	Case No. 49	50	Case No. 50
51	Case No. 51	52	Case No. 52
53	Case No. 53	54	Case No. 54
55	Case No. 55	56	Case No. 56
57	Case No. 57	58	Case No. 58
59	Case No. 59	60	Case No. 60
61	Case No. 61	62	Case No. 62
63	Case No. 63	64	Case No. 64
65	Case No. 65	66	Case No. 66
67	Case No. 67	68	Case No. 68
69	Case No. 69	70	Case No. 70
71	Case No. 71	72	Case No. 72
73	Case No. 73	74	Case No. 74
75	Case No. 75	76	Case No. 76
77	Case No. 77	78	Case No. 78
79	Case No. 79	80	Case No. 80
81	Case No. 81	82	Case No. 82
83	Case No. 83	84	Case No. 84
85	Case No. 85	86	Case No. 86
87	Case No. 87	88	Case No. 88
89	Case No. 89	90	Case No. 90
91	Case No. 91	92	Case No. 92
93	Case No. 93	94	Case No. 94
95	Case No. 95	96	Case No. 96
97	Case No. 97	98	Case No. 98
99	Case No. 99	100	Case No. 100

[illegible][illegible][illegible][illegible]

INTERNAL ID
MANUALRISKSCORE
TOTAL RISK SCORE
RISK LEVEL
COMPLIANCE/ADVANTAGE
HTS
COMPLIANCE/ADVANTAGE
LINK
VERIFICATION
APPLICATION STATUS
CATEGORY
NUMBER OF ATTEMPT TO
JIDMO

0

13

Low

0

[Compliance/Advantage Link](#)

Auto-Approved

Auto-Approved

Send a follow up

2

Reset

Risk Score Update History

DATE & TIME	FULL NAME	RISK SCORE	RISK LEVEL
7/14/2023 10:19:12 AM	Auto (System)	13	Low

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of birth	China	0
11	Citizenship on the document	China	0
12	Please tell us about your current employment status.	Employed	0
13	Please tell us about your occupation or business activity.	Self-employed	0
14	Please tell us about business you may conduct in other country(ies) besides where you are registered for tax purposes?	No	0
15	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0--10% (none or little of my turnover is in cash)	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0--10% (none or little of my turnover is in cryptocurrency)	0
18	Please tell us about your source(s) of income funds. Select more than one box if necessary.	Salary / business income	0
19	Please tell us about your source(s) of wealth. Select more than one box if necessary.	Employment income	0
20	Please select this service(s) you plan to use. Select more than one box if necessary.	Crypto Trading, Long term Investment, Participate in Initial Exchange Offering, Crypto payment	6
21	How much do you plan to deposit per year (USD and crypto combined)?	10 000 100 000	0
22	Please tell us which countries the funds will be received and/or transferred from.	China	0
23	Let us know what your level of experience is with cryptocurrency trading? Please select one.	Beginner	0
24	How many years of experience do you have in crypto trading?	< 1 Year	0
25	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Comment

Add a comment...

Add Comment

Full Name: Date of Birth: Email Address: Password: Confirm Password:

Gender: ☐ Male ☐ Female ☐ Other

Phone Number: Address:

Account Type: ☐ Standard ☐ Premium ☐ Business ☐ Other

Registration Date: Last Login:

Verification Status: Application Status: Application Category: Application To:

Number of Attempts: Email:

Next Questionnaire

[illegible]

Comment

Add Comments

10

23/2022 4:06:22 PM

6/20/21 9:22 AM

0 2021 0 35 00 AM

5/20/21 9:07:45 AM

4/20/21 2:23 PM

[illegible]

TRANSACTION MONITORING Security Question Supporting Documents

INTERNAL ID BCS5A1

MANUAL RISK SCORE 56

TOTAL RISK SCORE 56

RISK LEVEL Medium

COMPLIANCE ADVANTAGE 100

HITS

COMPLIANCE ADVANTAGE LINK [Compliance Advantage Link](#)

VERIFICATION APPROVED

APPLICATION STATUS Approved

CATEGORY Approved by Compliance

NUMBER OF ATTEMPT TO 3

RESET

See a different user

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK FACTOR
1	Place of birth	China	0
2	Citizenship on the document	China	31
3	Please tell us about your current employment status.	Employed	0
4	Please tell us about your occupation or business activity.	Technology and communications information technology	3
5	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
6	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	0-10k (none or little of my turnover is in cash)	0
7	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	0-10k (none or little of my turnover is in cryptocurrency)	0
8	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	Salary / business income, investments, savings	0
9	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	Property sale, employment income	0
10	Please tell us about your source(s) of income funds. Select more than one box if necessary.	Crypto trading, long term investment, participate in initial exchange offering, crypto payment	5
11	Please select the service(s) you plan to use. Select more than one box if necessary.	10 000 100 000	0
12	How much do you plan to deposit per year (USD and crypto combined)?	Australia	15
13	Please tell us which countries the funds will be received and or transferred from.	Singapore	0
14	Let us know what your level of experience is with cryptocurrency trading? Please select one.	United States	0
15	How many years of experience do you have in crypto trading?	Beginner	0
16	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	< 1 Year	0
17		No	0

Comment

Add a comment...

DATE & TIME	FULL NAME	RISK	COMMENT
-------------	-----------	------	---------

Screening QA is performed against the Customer. There are 100 hits against the searched English name. There are 100 hits against the searched Chinese name. All hits are disclosed as NAME MISMATCH, DOB MISMATCH, OCCUPATIONAL BACKGROUND MISMATCH, PHOTO MISMATCH, COUNTRY OF RESIDENCE MISMATCH, ADVERSE MEDIA UNRELATED TO AML, CTF, or CORPORATES.

Senior KYC Onboarding Analyst [REDACTED] emailed the Customer clarification regarding US funds via Zendesk #55673 on 18 May.

The Customer cited traveling & investment as primary justifications for transaction with US account.

Senior KYC Onboarding Analyst [REDACTED] emailed the Customer notification regarding Chinese Residence via Zendesk #54066 on 18 May.

Based on the KYC responses, the Customer is Medium Risk considering the following risk factors:

1. Chinese citizenship per PRC Passport

2. Occupation of Information Technology

3. Selected services include Crypto Trading, Participate in Initial Exchange Offering, Crypto Payment

4. Funds received and or transferred from Australia, Singapore, US

Screening is performed against the Customer. There are 100 hits against the searched English name. There are 100 hits against the searched Chinese name.

All hits are disclosed as NAME MISMATCH, DOB MISMATCH, OCCUPATIONAL BACKGROUND MISMATCH, ADVERSE MEDIA UNRELATED TO AML, CTF, or CORPORATES.

Overall, Senior KYC Onboarding Analyst [REDACTED] is of the view that the initial AML, CTF assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with Medium Risk PS.

18 2023 1 02:55 PM

INTERNAL ID

MANUALRISKSCORE

TOTAL RISK SCORE

RISK LEVEL

COMPLYADVANTAGE

HITS

COMPLYADVANTAGE LINK

VERIFICATION

APPLICATION STATUS

CATEGORY

NUMBER OF ATTEMPT TO

RESET

APPCAW

0

30

Low

0

ComplyAdvantage Link

Auto-Approved

Auto-Approved

1

Reset

Risk Score Update History

DATE & TIME	FULL NAME	ROLE	RISK SCORE	RISK LEVEL
11/10/2021 12:57:18 PM		Auto (System)	30	Low

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK FACTOR
0	Place of birth	China	0
1	Citizenship on the document	Singapore	25
2	Please tell us about your current employment status.	Employed	0
3	Please tell us about your occupation or business activity.	Water supply	0
13	Please tell us about your current employment status.	No	0
14	Please tell us about business you may conduct in other country(ies) besides where you are registered for tax purposes?	No	0
5	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	90-100% (all or almost all of my turnover is in cash)	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-100% (none or little of my turnover is in cryptocurrency)	0
7	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	Salary / Business income	0
8	Please tell us about your sources of income. Funds. Select more than one box if necessary.	Employment income	0
9	Please select the service(s) you plan to use. Select more than one box if necessary.	Crypto Trading, Long term investment, Crypto payment	5
10	How much do you plan to deposit per year (USD and crypto combined)?	10 000 100 000	0
11	Please tell us which countries the funds will be received and/or transferred from.	Singapore	0
12	Let us know what your level of experience is with cryptocurrency trading? Please select one:	Beginner	0
13	How many years of experience do you have in crypto trading?	< 1 Year	0
14	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Comment

Add a comment...

Add Comment

INTERNAL ID
MANUALRISKSCORE
TOTAL RISK SCORE
RISK LEVEL
COMPLY/ADVANTAGE
HTS
COMPLY/ADVANTAGE
LINK
VERIFICATION
APPLICATION STATUS
CATEGORY
NUMBER OF ATTEMPT TO JUMBO

WOLAHQD
0
51
Medium
0
Comply/Advantage Link
Approved
Approved By/ Compliance
▼ Show & Hide on Live
Reset

KYC Questionnaire			
QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of birth	China	0
11	Citizenship on the document	China	31
12	Please tell us about your current employment status.	Unemployed	0
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
15	Is your individual activity of business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10K (none or little of my turnover is in cash)	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10K (none or little of my turnover is in cryptocurrency)	0
18	Please tell us about your source(s) of income (funds). Select more than one box if necessary.	Investments	0
19	Please tell us about your source(s) of wealth. Select more than one box if necessary.	Property sale	0
20	Please select the service(s) you plan to use. Select more than one box if necessary.	Crypto Trading, Long term investment, Participate in initial exchange offering, Crypto payment	5
21	How much do you plan to deposit per year (USD and crypto combined)?	< 10 000	0
22	Please tell us which countries the funds will be received and/or transferred from.	Russia	15
23	Let us know what your level of experience is with cryptocurrency trading? Please select one:	Beginner	0
24	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	< 1 Year	0
25		No	0

Comment

ADD A COMMENT...

Add Comment			
DATE & TIME	FILE NAME	RCT	COMMENT
6/23/2021 10:50:39 AM			There is 3 hit against the searched box for English and Chinese name. All discount as crime mismatch based on the KYC responses, the Customer is Medium Risk considering the following risk factors: 1. Chinese citizenship per RUC passport [31] 2. Selected services include Crypto Trading, Long Term Investment, Participate in initial Exchange Offering, Crypto payment [5] 3. Funds received and/or transferred from Russia [15] Screening is performed against the Customer. There is 0 hit against the searched box for English and Chinese name. Overall, KYC Onboarding Analyst [REDACTED] is of the view that the initial AML CTF assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with Medium Risk [51].
5/20/2021 4:23:18 PM			

Risk Score Update History

2006-07-04 09:04:00

Risk Score Update History

Approved By: Approved On:

KYC Questionnaire

QUESTION	QUESTION ORDER	QUESTION	ANSWER	END MARK
0	1	Place of Birth	China	
1	2	Cease to be on the document	China	
2	3	Please tell us about your current employment first.	Unemployed	
3	4	Please tell us about business you may conduct in either country's borders, where you are registered for tax purposes?	No	
4	5	Is your individual account or business registered or conducted in preferred tax zone (for instance, free economic zone, offshore jurisdiction)?	No	
5	6	Please tell us about your annual turnover, in individual or business activity, which is conducted in each.	0-125 million per year (none of my turnover is in each)	
6	7	Please tell us about your securities or interests.	0-125 million per year (none of my turnover is in each)	
7	8	Please tell us about your assets or liabilities.	Property, cash, Employment income, Business profits	
8	9	Please select the residence you plan to work after more than one day if needed.	China	
9	10	Please select the residence you plan to work after more than one day if needed.	China	
10	11	How much do you plan to spend per year USD and yuan (optional)	10 000 100 000	
11	12	Please tell us which countries the funds will be received and or transferred from.	Australia	
12	13	Let us know what your level of experience is with corporations, starting Please select one	China	25
13	14	How many years of experience do you have in each country?	China	
14	15	Let us know what your level of experience is with corporations, starting Please select one	China	
15	16	How many years of experience do you have in each country?	China	
16	17	Let us know what your level of experience is with corporations, starting Please select one	China	
17	18	How many years of experience do you have in each country?	China	
18	19	Let us know what your level of experience is with corporations, starting Please select one	China	
19	20	How many years of experience do you have in each country?	China	
20	21	Let us know what your level of experience is with corporations, starting Please select one	China	
21	22	How many years of experience do you have in each country?	China	
22	23	Let us know what your level of experience is with corporations, starting Please select one	China	
23	24	How many years of experience do you have in each country?	China	
24	25	Let us know what your level of experience is with corporations, starting Please select one	China	
25	26	How many years of experience do you have in each country?	China	
26	27	Let us know what your level of experience is with corporations, starting Please select one	China	
27	28	How many years of experience do you have in each country?	China	
28	29	Let us know what your level of experience is with corporations, starting Please select one	China	
29	30	How many years of experience do you have in each country?	China	
30	31	Let us know what your level of experience is with corporations, starting Please select one	China	
31	32	How many years of experience do you have in each country?	China	
32	33	Let us know what your level of experience is with corporations, starting Please select one	China	
33	34	How many years of experience do you have in each country?	China	
34	35	Let us know what your level of experience is with corporations, starting Please select one	China	
35	36	How many years of experience do you have in each country?	China	
36	37	Let us know what your level of experience is with corporations, starting Please select one	China	
37	38	How many years of experience do you have in each country?	China	
38	39	Let us know what your level of experience is with corporations, starting Please select one	China	
39	40	How many years of experience do you have in each country?	China	
40	41	Let us know what your level of experience is with corporations, starting Please select one	China	
41	42	How many years of experience do you have in each country?	China	
42	43	Let us know what your level of experience is with corporations, starting Please select one	China	
43	44	How many years of experience do you have in each country?	China	
44	45	Let us know what your level of experience is with corporations, starting Please select one	China	
45	46	How many years of experience do you have in each country?	China	
46	47	Let us know what your level of experience is with corporations, starting Please select one	China	
47	48	How many years of experience do you have in each country?	China	
48	49	Let us know what your level of experience is with corporations, starting Please select one	China	
49	50	How many years of experience do you have in each country?	China	
50	51	Let us know what your level of experience is with corporations, starting Please select one	China	
51	52	How many years of experience do you have in each country?	China	
52	53	Let us know what your level of experience is with corporations, starting Please select one	China	
53	54	How many years of experience do you have in each country?	China	
54	55	Let us know what your level of experience is with corporations, starting Please select one	China	
55	56	How many years of experience do you have in each country?	China	
56	57	Let us know what your level of experience is with corporations, starting Please select one	China	
57	58	How many years of experience do you have in each country?	China	
58	59	Let us know what your level of experience is with corporations, starting Please select one	China	
59	60	How many years of experience do you have in each country?	China	
60	61	Let us know what your level of experience is with corporations, starting Please select one	China	
61	62	How many years of experience do you have in each country?	China	
62	63	Let us know what your level of experience is with corporations, starting Please select one	China	
63	64	How many years of experience do you have in each country?	China	
64	65	Let us know what your level of experience is with corporations, starting Please select one	China	
65	66	How many years of experience do you have in each country?	China	
66	67	Let us know what your level of experience is with corporations, starting Please select one	China	
67	68	How many years of experience do you have in each country?	China	
68	69	Let us know what your level of experience is with corporations, starting Please select one	China	
69	70	How many years of experience do you have in each country?	China	
70	71	Let us know what your level of experience is with corporations, starting Please select one	China	
71	72	How many years of experience do you have in each country?	China	
72	73	Let us know what your level of experience is with corporations, starting Please select one	China	
73	74	How many years of experience do you have in each country?		

Comment

This ad hoc review was triggered by periodic reviews.

As per client's signed NTC Questionnaire Form, customer declared that client's existing profile has no changes.

Name steering is performed against customer and there is no hit against the searched names.

Customer accumulated expense is below USD 100K as of today with matched with the expected annual deposit amount, no suspicious was noted at this stage.

Scout will be changed from 96 to 90.
Modification email has been sent to the Customer due to his country of residency in China.

due to previous guestlist from committee regarding similar profiles and will onboard customer with Ultra-high risk score. Should customer deposited 100k or more additional evidence should be requested on 50k and 50k.

1. Citizenship on the document is China [31]

3. Services rendered include Crypto Trading, Long term investment, Altcoin Age Trading, Crypto payment [5]
4. Fund will be received and or transferred from Australia, China, Philippines & Hong Kong [20]

According to the AYC questionnaire, the Customer will deposit funds from Australia, China, Philippines and the United States of America into the account. The Customer has declared that he has not deposited any funds from the United States of America into the account. USD 100K per annum, it is recommended to substantiate his source of wealth if the deposits more than USD 100K in future.

Screening is performed against the Customer. There is no hit against the searched names.

According to the NCC Questionnaire, the Customer's wealth is accumulated from property sale, employment income & business profits. The Customer is 35 years old, the accumulated income from his previous employment and the revenues from his property sale can match with the declared deposit volume. The 43531902 source of wealth is commensurate with the Customer's profile.

Overall, NYC Onboarding analyst [REDACTED] is of the view that the initial ALE CTR assessment result is consistent with the Customer's profile as used in its prior SCOD file. This should be escalated to New Business Committee for approval.

INTERNAL ID PHUB20

MANUAL RISK SCORE 0 [See](#)

TOTAL RISK SCORE 15

RISK LEVEL Low

COMPLY ADVANTAGE 0

HTS

COMPLY ADVANTAGE LINK [Comply Advantage Link](#)

VERIFICATION

APPLICATION STATUS Auto-Approved

CATEGORY

NUMBER OF ATTEMPT TO 2 [See & Inform User](#)

JUMBO [Reset](#)

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of birth	China	0
11	Citizenship on the document	Australia	0
12	Please tell us about your current employment status.	Owner of legal entity	5
13	Please tell us about your occupation or business activity.	Technology and communications-information technology	5
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
15	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10k income or little of my turnover is in cash	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10k income or little of my turnover is in cryptocurrency	0
18	Please tell us about your source(s) of income/funds. Select more than one box if necessary.	Salary / business income	0
19	Please tell us about your source(s) of wealth/Select more than one box if necessary.	Employment income, business profits	0
20	Please select the service(s) you plan to use. Select more than one box if necessary.	Crypto Trading, Participate in Initial Exchange Offering, Crypto payment	5
21	How much do you plan to deposit per year (USD and crypto combined)?	10 000 100 000	0
22	Please tell us which countries the funds will be received and /or transferred from.	Australia	0
23	Let us know what your level of experience is with cryptocurrency trading? Please select one	Beginner	0
24	How many years of experience do you have in crypto trading?	< 1 Year	0
25	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Comment

Add a comment...

Add Comment

INTERNAL ID77652AF

MANUALRISKSCORE0

TOTAL RISK SCORE51

RISK LEVELMedium

COMPLYADVANTAGE0

COMPLYADVANTAGEHITS

LINK[Comply-Advantage Link](#)

VERIFICATIONApproved

APPLICATION STATUSApproved By Compliance

CATEGORY

NUMBER OF ATTEMPT TO3

STATUSReset

Save & return later

KYC Questionnaire		
QUESTION SORT ORDER	QUESTION	ANSWER
0	Place of birth	China
1	Citizenship on the document	China
2	Please tell us about your current employment status.	Employed
3	Please tell us about your occupation or business activity.	#655
4	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No
5	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	0-10% (none or little of my turnover is in cash)
6	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10% (none or little of my turnover is in cryptocurrency)
7	Please tell us about your annual turnover, in individual or business activity, which is handled in crypto.	Salary / business income, investments, savings
8	Please tell us about your source(s) of income, funds. Select more than one box if necessary.	Employment income
9	Please select the source(s) you plan to use. Select more than one box if necessary.	Crypto Trading, Long term investment, Arbitrage Trading, Participate in initial exchange offerings, Crypto payment
10	Please select the source(s) you plan to use. Select more than one box if necessary.	Crypto 100 000
11	How much do you plan to deposit per year (USD and crypto combined)?	United States
12	Please tell us which countries the funds will be received and/or transferred from.	China
13	Let us know what your level of experience is with cryptocurrency trading? Please select one:	Switzerland
14	How many years of experience do you have in crypto trading?	Beginner
15	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	< 1 Year
		No
		15
		0
		0
		0
		0

Comment

Add 3 comments...

Add Comment

DATE & TIME

FULL NAME

RISK

COMMENT

5/9/2023 2:14:28 PM

Based on the KYC responses, the Customer is Medium risk considering the following risk factors:
He is a China national residing in China.
His planned usage include crypto trading, long term investment, arbitrage trading, participate in initial exchange offering and crypto payment.
His origin of funds include US, China and Switzerland.
Enquiry was sent to Customer via Zendesk due to hit edge of funds from US.
He declared that he does not have any form of US identity- US indices is explained.
Screening is performed against the Customer's Chinese and English names.
There is 1 hit against the searched names and the hit is discounted due to name mismatch.
Overall, KYC Onboarding Analyst: [REDACTED] is of the view that the initial AML CTRF assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with Medium Risk(S1).

INTERNAL ID: KYORCL

MANUAL RISK SCORE: 41

TOTAL RISK SCORE: 41

RISK LEVEL: Medium

COMPLY ADVANTAGE: 0

HITS: 3

COMPLY ADVANTAGE LINK: [ComplyAdvantage Link](#)

LINK: [ComplyAdvantage Link](#)

VERIFICATION: Approved

APPLICATION STATUS: Approved By Compliance

CATEGORY: [See a Different Use](#)

NUMBER OF ATTEMPT TO: 7

RESET

QUESTION ID	QUESTION	ANSWER	RISK RATING
10	Place of Birth	China	0
11	Citizenship on the document	China	31
12	Please tell us about your current employment status.	Self-employed	5
13	Please tell us about your occupation or business activity.	Services- Tourism and travel agencies activities	5
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
15	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10k (none or little of my turnover is in cash)	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10k (none or little of my turnover is in cryptocurrency)	0
18	Please tell us about your source(s) of income / funds. Select more than one box if necessary.	Salary / business income	0
19	Please tell us about your source(s) of wealth. Select more than one box if necessary.	Business profits	0
20	Please select the service(s) you plan to use. Select more than one box if necessary.	Long term investment	0
21	How much do you plan to deposit per year (USD and crypto combined)?	10 000-100 000	0
22	Please tell us which countries the funds will be received and / or transferred from.	Australia	0
23	Let us know what your level of experience is with cryptocurrency trading. Please select one.	Beginner	0
24	How many years of experience do you have in crypto trading?	< 1 Year	0
25	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role of a senior military position, at home or overseas.	No	0

Comment

Add a comment...

Add Comment

DATE & TIME: 5/9/2021 10:45:44 AM

FULL NAME: [REDACTED]

RISK: [REDACTED]

COMMENT: Based on the KYC responses, the Customer is Medium Risk considering the following risk factors:
1. Chinese Citizenship as per inputted by the customer [31]
2. Self-employed status [5]
3. Business activity is Services-Tourism and travel agencies activities [5]

Screening is performed against the Customer. There are 3 hits against the searched names. All hits can be discounted due to the Name Mismatch.

Overall, KYC Onboarding Analyst [REDACTED] is of the view that the initial AML CTR assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with Medium Risk (Risk Score: 41).

Profile Balances Ledger Deposits Deposit Addresses Withdrawals Redemption Limits Withdrawal Addresses Bank Accounts Active Orders Completed Orders Trades Tiers Mail Queue Notifications Activity Error Log Exploit Attempts Referrals Referral Bonuses Whitelisted IPs **Risk Scoring**

INTERNAL ID72117NFX

MANUAL RISK SCORE51

TOTAL RISK SCORE51

RISK LEVELMedium

COMPLY/ADVANTAGE100

HITS

COMPLY/ADVANTAGE LINKComply/Advantage Link

VERIFICATIONApproved

APPLICATION STATUSApproved By Compliance

CATEGORY

NUMBER OF ATTEMPT TO JUDGE3Repeat

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of Birth	China	0
11	Citizenship on the document	China	31
12	Please tell us about your current employment status.	Employed	0
13	Please tell us about your occupation or business activity.	Editor	0
14	Please tell us about businesses you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
15	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10k (income or little of my turnover is in cash)	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10k (income or little of my turnover is in cryptocurrency)	0
18	Please tell us about your sources of income funds. Select more than one box if necessary.	Salary / business income, investments, savings	0
19	Please tell us about your source(s) of wealth? Select more than one box if necessary.	Property sale, Employment income, Business profits	0
20	Please select the service(s) you plan to use. Select more than one box if necessary.	Crypto Trading, Long term investment, Arbitrage Trading, Participate in Initial Exchange Offering, Crypto Payment	5
21	How much do you plan to deposit per year (USD and crypto combined)?	10 000 100 000	0
22	Please tell us which countries the funds will be received and / or transferred from.	China	15
23	Let us know what your level of experience is with cryptocurrency trading? Please select one:	Beginner	0
24	How many years of experience do you have in crypto trading?	< 1 Year	0
25	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Comment

Add a comment...

ADD COMMENT	DATE & TIME	FULL NAME	NOTE	COMMENT
-------------	-------------	-----------	------	---------

5/11/2021 10:12:55 AM

Based on this KYC response, the Customer is Medium Risk considering the following risk factors:

(3) Citizenship: China

(17) funds will be received and / or transferred from: China

(21) service(s) you plan to use - Crypto Trading, Long term investment, Arbitrage Trading, Participate in Initial Exchange Offering, Crypto Payment

Screening is performed against the Customer. There are 100 hits against the searched names. 98 hits are discounted due to name mismatch. 2 hits are discounted because there were no related result by using name and ID to search in the database provided in the link.

Notification email has been sent to the Customer due to his country of residency in China.

Overall, KYC Onboarding Analyst: [REDACTED] is of the view that the initial AML, CTF assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with Medium Risk SL.

INTERNAL ID	SECTION
MANUALRISKSCORE	0
TOTAL RISK SCORE	25
RISK LEVEL	Low
COMPLIANCE ADVANTAGE	0
HITS	
COMPLIANCE ADVANTAGE LINK	Compliance Advantage Link
VERIFICATION	Auto-approved
APPLICATION STATUS	
CATEGORY	
NUMBER OF ATTEMPT TO	4
STATUS	Reset

Risk Score Update History

DATE & TIME	FULL NAME	ROLE	RISK SCORE	RISK LEVEL
1/26/2022 1:30:17 PM	Auto (System)	Auto (System)	25	Low
1/26/2022 1:29:57 PM	Auto (System)	Auto (System)	25	Low
1/26/2022 1:29:11 PM	Auto (System)	Auto (System)	25	Low
1/26/2022 1:28:25 PM	Auto (System)	Auto (System)	25	Low

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK SCORE	RISK LEVEL
10	Place of birth	China	0	
11	Citizenship on the document	China	25	
12	Please tell us about your current employment status.	Employed	0	
13	Please tell us about your occupation or business activity.		0	
14	Please tell us about your business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0	
15	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0	
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	90-100k (all or almost all of my turnover is in cash)	0	
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10k (more or little of my turnover is in cryptocurrency)	0	
18	Please tell us about your source(s) of income/funds. Select more than one box if necessary.	TRADE	0	
19	Please tell us about your source(s) of wealth. Select more than one box if necessary.	Employment income	0	
20	Please select the service(s) you plan to use. Select more than one box if necessary.	Crypto Trading, Long term investment	0	
21	How much do you plan to deposit per year (USD and crypto combined)?	< 10,000	0	
22	Please tell us which countries the funds will be received and/or transferred from.	Korea (South)	0	
23	Let us know what your level of experience is with cryptocurrency trading? Please select one.	Beginner	0	
24	How many years of experience do you have in crypto trading?	< 1 Year	0	
25	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government job, a regulatory role or a senior military position, at home or overseas.	No	0	

Comment

Add a comment...

Add Comment

DATE & TIME	FULL NAME	ROLE	COMMENT
1/26/2023 10:20:28 AM			Full name mismatch with ID, Customer input

Profile Balances Ledger Deposits Deposit Addresses Withdrawals Redemption Limits Withdrawal Addresses Bank Accounts Active Orders Completed Orders Trades Tiers Mail Queue Notifications Activity Error Log Explore Attempts Referrals Referral Bonuses Whitelisted IPs **Risk Scoring**

INTERNAL ID
MANUALRISKSCORE
TOTAL RISK SCORE
RISK LEVEL
COMPLYADVANTAGE
HTS
CRYPTO ADVANTAGE LINK
VERIFICATION
APPLICATION STATUS
CATEGORY
NUMBER OF ATTEMPT TO
JIMMO

MC09631
0
6
Low
0
HTS
[Crypto Advantage Link](#)
Auto-Approved
Auto-Approved
2
Reset

[Save & Inform User](#)

Risk Score Update History

DATE & TIME	FULL NAME	ROLE	RISK SCORE	RISK LEVEL
3/1/2023 4:40:08 PM	Auto (System)	Auto (System)	6	Low
3/1/2023 4:38:28 PM	Auto (System)	Auto (System)	0	Low
3/1/2023 4:37:45 PM	Auto (System)	Auto (System)	0	Low

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
12	Please tell us about your current employment status.	Self-employed	0
13	Please tell us about your occupation or business activity.		0
18	Please tell us about your sources of income. funds. Select more than one box if necessary.	Salary, business income	0
19	Please tell us about your sources of wealth. Select more than one box if necessary.	Employment income	0
20	Please select the service(s) you plan to use. Select more than one box if necessary.	Crypto Trading, Long term investment, Participate in Initial Exchange Offering, Crypto Payment	6
21	How much do you plan to deposit per year (USD and crypto combined)?	< 10,000	0
22	Please tell us which countries the funds will be received and/or transferred from.	Hong Kong	0
25	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Comment

Add a comment...

Add Comment

INTERNAL ID
MANUALRISKSCORE
TOTAL RISK SCORE
RISK LEVEL
COUNT/ADVANTAGE
HITS
COUNT/ADVANTAGE
LINK
VERIFICATION
APPLICATION STATUS
CATEGORY
NUMBER OF ATTEMPT TO

CODE22?
0
3
Low
60
60
[CompleteAdvantage Link](#)
Approved
Approved
Approved By/ Compliance
1
Report

[Save & Return Later](#)

KYC Questionnaire		QUESTION		ANSWER		RISK RATING
10	Place of Birth			China		0
11	Citizenship on the document			Australia		0
12	Please tell us about your current employment status.			Employed		0
13	Please tell us about your occupation or business activity.			Health and wellness-Health care		5
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?			No		0
15	Is your individual activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?			No		0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.			0-10k (none or little of my turnover is in cash)		0
17	Please tell us about your source(s) of income/ funds, select more than one box if necessary.			0-10k (none or little of my turnover is in cryptocurrency)		0
18	Please tell us about your source(s) of income/ funds, select more than one box if necessary.			Salary / business income		0
19	Please select the services/ you plan to use. Select more than one box if necessary.			Employment income		0
20	How much do you plan to deposit per year (USD and crypto combined)?			Long term investment		0
21	Please tell us which countries the funds will be received and/ or transferred from.			< 10 000		0
22	Let us know what your level of experience is with cryptocurrency trading? Please select one.			Australia		0
23	How many years of experience do you have in crypto trading?			Beginner		0
24	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.			< 1 Year		0
25				No		0

Comment

Add a comment...

Add Comment

DATE & TIME

FULL NAME

ROLE

COUNTRY

Based on the KYC response, the Customer is considered Low Risk. Screening is performed against the Customer with 63 hits. 62 are discounted due to name mismatch. 1 hit is discounted by using name and ID number to search in the link provided, no relevant result is shown.

Overall, KYC Onboarding Analysis is of the view that the initial AML/ CTF assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with Low Risk 5.

Profile Balances Ledger Deposits Deposit Addresses Withdrawals Redemption Limits Withdrawal Addresses Bank Accounts Active Orders Completed Orders Trades Tiers Mail Queue Notifications Activity Error Log Explain Attempts Referrals Referral Earnings Whitelisted IP's **Risk Scoring**

INTERNAL ID: 1216
TOTAL RISK SCORE: 55
RISK LEVEL: Medium
COMPLIANCE ADVISORY: 58
LINK: [View full report link](#)
APPLICATION STATUS: Approved
CATEGORY: Approved by Compliance
NUMBER OF ATTEMPT TO: 5
JUN05

Risk Score Update History

DATE & TIME	FILE NAME	RISK	RISK SCORE	RISK LEVEL
12/6/2021 4:20:04 PM	Auto System		55	Medium
12/6/2021 4:20:10 PM	Auto System		55	Medium
12/6/2021 4:20:16 PM	Auto System		55	Medium
12/6/2021 4:20:43 PM	Auto System		55	Medium
12/6/2021 4:20:49 PM	Auto System		55	Medium
12/6/2021 4:20:57 PM	Auto System		40	Medium
12/6/2021 4:21:04 PM	Auto System		35	Medium
12/6/2021 4:21:11 PM	Auto System		35	Medium
12/6/2021 4:21:18 PM	Auto System		35	Medium
12/6/2021 4:21:25 PM	Auto System		35	Medium
12/6/2021 4:21:32 PM	Auto System		35	Medium
12/6/2021 4:21:39 PM	Auto System		35	Medium
12/6/2021 4:21:46 PM	Auto System		35	Medium
12/6/2021 4:21:53 PM	Auto System		35	Medium
12/6/2021 4:22:00 PM	Auto System		30	Low
12/6/2021 4:22:07 PM	Auto System		25	Low
12/6/2021 4:22:14 PM	Auto System		0	Low

QYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK RATING
10	Place of birth	China	0
11	Occupation on the document	China	25
12	Please tell us about your current employment status.	Self-employed	5
13	Please tell us about your occupation or business activity.	Services-Tourism and Travel Agencies Activities	5
14	Please tell us about business you may conduct in other country's besides where you are registered for tax purposes?	No	0
15	In your individual account or business registered or conducted in preferred tax zone for instance, free economic zone, offshore jurisdiction?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10k (none or little of my turnover is in cash)	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10k (none or little of my turnover is in cryptocurrency)	0
18	Please tell us about your source(s) of income. Select more than one box if necessary.	Salary/ Business Income	0
19	Please tell us about your source(s) of wealth. Select more than one box if necessary.	Employment income, Business profits	0
20	How much do you plan to deposit per year (USD and crypto combined)?	Crypto Trading, Long term investments, Arbitrage Trading, Crypto Payments	5
21	Please tell us which countries the funds will be received and/or transferred from.	10 000 100 000	0
22	Let us know what your level of experience is with cryptocurrency trading? Please select one	China	15
23	Are you an immediate family member of a close associate, entrepreneur, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, a throne or a monarch.	Beginner	0
24		< 1 Year	0
25		No	0

Comment

Add a comment...

Add comment

DATE & TIME	FILE NAME	RISK	COMMENT
12/17/2021 5:10:15 PM			<p>a. Full Name matches with junior record</p> <p>b. DOB matches with junior record</p> <p>c. Full address provided</p> <p>d. Copy of Funds from US, Canada, Japan - Nil</p> <p>e. No connections to any Unsanctioned Country</p> <p>f. Disclosing of Accounting etc. - zero English and Chinese name search hit.</p> <p>Based from Business Committee's guidance on Oct 1, 2021, it is agreed to onboard the Customer with China residency with medium risk 155k.</p>

INTERNAL ID
MANUALRISKSCORE
TOTAL RISK SCORE
RISK LEVEL
COMPLYADVANTAGE
HITS
COMPLYADVANTAGE LINK
VERIFICATION
APPLICATION STATUS
CATEGORY
NUMBER OF ATTEMPT TO JUMBO

LO7DOLW
5
Low
1
ComplyAdvantage Link
Approved
Approved By Compliance
3 x 6 Month View
1
Reset

Risk Score Update History

DATE & TIME	FULL NAME	RISK	RISK SCORE	RISK LEVEL
3/13/2022 12:29:17 PM	Auto System	Auto System	5	Low

KYC Questionnaire

QUESTION SORT ORDER	QUESTION	ANSWER	RISK MATCH
10	Place of Birth	China	0
11	Citizenship on the document	Australia	0
12	Please tell us about your current employment status.	Employed	0
13	Please tell us about your occupation or business activity.	real estate agent	0
14	Please tell us about business you may conduct in other country(s) besides where you are registered for tax purposes?	No	0
15	Is your residential activity or business registered or conducted in preferential tax zone (for instance, free economic zone, offshore jurisdiction)?	No	0
16	Please tell us about your annual turnover, in individual or business activity, which is handled in cash.	0-10k (more or little of my turnover is in cash)	0
17	Please tell us about your annual turnover, in individual or business activity, which is handled in cryptocurrency.	0-10k (more or little of my turnover is in cryptocurrency)	0
18	Please tell us about your source(s) of income. Select more than one box if necessary.	Salary / Business income, Investments	0
19	Please tell us about your source(s) of wealth. Select more than one box if necessary.	Property sale	0
20	Please select the service(s) you plan to use. Select more than one box if necessary.	Crypto Trading, Long term investment, Arbitrage Trading, Participate in Initial Exchange Offering, Crypto payment	5
21	How much do you plan to deposit per year USD and crypto combined?	10 000 100 000	0
22	Please tell us which countries the funds will be received and/or transferred from.	Australia	0
23	Let us know what your level of experience is with cryptocurrency trading? Please select one.	Beginner	0
24	How many years of experience do you have in crypto trading?	< 1 Year	0
25	Are you, an immediate family member or a close associate, entrusted, or have been entrusted, with prominent public functions in any country or international institution? This could be a public office, a government role, a regulatory role or a senior military position, at home or overseas.	No	0

Comment

Add a Comment...

Add Comment

DATE & TIME	FULL NAME	ROLE	COMMENT
3/14/2022 3:05:08 PM			Based on the KYC responses, the Customer is considered Low Risk. Screening is performed against the Customer with 8 hits. All hits can be discounted due to name mismatch. Overall, KYC Onboarding Analyst [REDACTED] is of the view that the initial AML CTF assessment result is consistent with the Customer's profile and does not oppose the decision to onboard the Customer with Low Risk [RISK SCORE 5].